

## UNITED STATES PATENT AND TRADEMARK OFFICE

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UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/835,128	04/16/2001	Takeshi Kubo	614.1897-C/AMC

CONFIRMATION NO. 8149

## FORMALITIES LETTER



\*OC000000007392900\*

21171  
STAAS & HALSEY LLP  
700 11TH STREET, NW  
SUITE 500  
WASHINGTON, DC 20001

Date Mailed: 01/30/2002

## NOTICE OF OMITTED ITEM(S) IN A NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

A filing date has been accorded to the above-identified nonprovisional application papers; however, the following item(s) appear to have been omitted from the application:

- Page(s) **15,44,50,52** of the specification (description and claims).

I. Should applicant contend that the above-noted omitted item(s) was in fact deposited in the U.S. Patent and Trademark Office (USPTO) with the nonprovisional application papers, a copy of this Notice and a petition (and \$130.00 petition fee (37 CFR 1.17(h))) with evidence of such deposit **must** be filed within **TWO MONTHS** of the date of this Notice. The petition fee will be refunded if it is determined that the item(s) was received by the USPTO.

II. Should applicant desire to supply the omitted item(s) and accept the date that such omitted item(s) was filed in the USPTO as the filing date of the above-identified application, a copy of this Notice, the omitted item(s) (with a supplemental oath or declaration in compliance with 37 CFR 1.63 and 1.64 referring to such items), and a petition under 37 CFR 1.182 (with the \$130.00 petition fee (37 CFR 1.17(h))) requesting the later filing date **must** be filed within **TWO MONTHS** of the date of this Notice.

III. The failure to file a petition (and petition fee) under the above options (I) or (II) within **TWO MONTHS** of the date of this Notice (37 CFR 1.181(f)) will be treated as a constructive acceptance by the applicant of the application as deposited in the USPTO. **THIS TWO MONTH PERIOD IS NOT EXTENDABLE UNDER 37 CFR 1.136(a) or (b).** In the absence of a timely filed petition in reply to this Notice, the application will maintain a filing date as of the date of deposit of the application papers in the USPTO, and original application papers (i.e., the original disclosure of the invention) will include only those application papers present in the USPTO on the date of deposit.

In the event that applicant elects not to take action pursuant to options (I) or (II) above (thereby constructively electing option (III)), amendment of the specification to renumber the pages consecutively and cancel incomplete sentences caused by any omitted page(s), and/or amendment of the specification to cancel all references to any omitted drawing(s), relabel the drawing figures to be numbered consecutively (if necessary), and correct the references in the specification to the drawing figures to correspond with any relabelled drawing figures, is required. Any drawing changes should be accompanied by a copy of the drawing figures showing the proposed changes in red ink. Such amendment and/or correction to the drawing figures, if necessary, should be by way of preliminary amendment submitted prior to the first Office action to avoid delays in the prosecution of the application.

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*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

[illegible]



M.M

S&H Form: PTO/SB/17 (2/01)

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<b>RESPONSE TO NOTICE OF OMITTED ITEM(S) IN A NONPROVISIONAL APPLICATION FILED UNDER 37CFR 1.53(b)</b>	Attorney Docket No.	614.1897C
	Application Number	09/835,128
	Filing Date	April 16, 2001
	First Named Inventor	Takeshi KUBO, et al.
	Group Art Unit	2131

Title: AUTHENTICATION APPARATUS, USER AUTHENTICATION METHOD, USER AUTHENTICATION CARD AND STORAGE MEDIUM

Pursuant to 37 C.F.R. § 1.53(b) and in response to the U.S. Patent and Trademark Office Notice of Omitted Item(s) in a Nonprovisional Application mailed January 30, 2002 for the above-identified application, enclosed are the following:

- ☒ RETURN COPY of Notice of Omitted Item(s) in a Nonprovisional Application
- ☐ Executed Combined Declaration/Power of Attorney executed by the inventor for completing the missing parts of the subject application. The undersigned registered attorney states that the subject application is the application which the inventor executed by signing the attached Declaration.
- ☐ Formal Drawings (\_\_\_ Sheets; Figs \_\_\_\_)
- ☒ Pages 15, 44, 50, and 52 of the specification (description and claims)
- ☐ Verified Statement Claiming Small Entity Status

Enclosed is a payment of the following:

- |  |                 |
|--|-----------------|
| <input type="checkbox"/> The filing fee as set forth in 37 C.F.R. § 1.16(a)          | \$              |
| <input type="checkbox"/> The additional claim(s) fee ( claims over 20)               | \$              |
| <input type="checkbox"/> The additional independent claim(s) fee ( claims over 3)    | \$              |
| <input type="checkbox"/> Multiple dependent claims                                   | \$              |
| <input type="checkbox"/> Petition for Extension of Time ( __-month)                  | \$              |
| <input type="checkbox"/> English language translation fee                            | \$              |
| <input checked="" type="checkbox"/> Petition Fee as set forth in 37 C.F.R. § 1.17(h) | \$130.00        |
| <b>SUBTOTAL FEES:</b>  | <b>\$130.00</b> |
| <input type="checkbox"/> Reduction by 50% for filing by small entity (37 CFR 1.27)   | .00             |
| <b>SUBTOTAL FEES:</b>  | <b>\$130.00</b> |
| <b>TOTAL FEES DUE:</b>   | <b>\$130.00</b> |

Since the Notice of Omitted Item(s) in a Nonprovisional Application set an original due date of \_\_, petition is hereby made for an extension to cover the date this reply is filed for which the requisite fee is enclosed (1 month (\$110)); (2 months (\$400)); (3 months (\$920)); (4 months (\$1,440)); (5 months (\$1,960)):

#### METHOD OF PAYMENT

- ☒ Check enclosed as payment.  
☐ Charge "TOTAL FEES DUE" to the Deposit Account No. 19-3935, below.

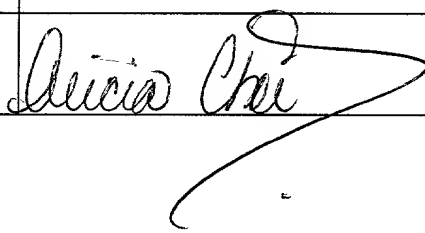
#### AUTHORIZATION

- ☒ If the above-noted "AMOUNT ENCLOSED" is not correct, the Commissioner is hereby authorized to credit any overpayment or charge any additional fees under 37 CFR 1.16 or 1.17 necessary to maintain pendency of the present application to:

Deposit Account No.: 19-3935

Deposit Account Name: STAAS & HALSEY LLP

**SUBMITTED BY: STAAS & HALSEY LLP**

Typed Name	Alicia M. Choi	Reg. No.	46,621
Signature		Date	March 28, 2002